

PTO/SB/82 (09-03)

Approved for use through 11/30/2005. OMB 0651-0035

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|---|---|
| Application Number | 08/849,117 |
| Filing Date | August 1, 1997 |
| First Named Inventor | Paul L. Hallenbeck |
| Art Unit | 1633 |
| Examiner Name | D. Nguyen |
| Attorney Docket Number | GTI ODS 2 LIS |

| I hereby revoke all prev | evious powers of attorney given in the above-identif | find application |
|---|--|------------------|
| | ey is submitted herewith. | 1-31- 423/Re |
| OR | | |
| I hereby appoint th | the practitioners associated with the Customer Number: | 30539 |
| _ | correspondence address for the above-identified applic | cation to: |
| The address as Customer Num | ssociated with nber: | |
| Firm or Individual Name | Linda R. Judge, Esq. (Registration No. 42,702) | |
| Address | Cell Genesys, Inc. | |
| Address | 500 Forbes Boulevard : | |
| City | South San Francisco State California | a Zip 94080 |
| Country | United States of America | |
| Telephone | (650)266-2922 Fax (650)266 | 6-2940 |
| Applicant/Inventor. Assignee of record Statement under 33 | or. rd of the entire interest. See 37 CFR 3.71. 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) | |
| Quantification of the Co. | SIGNATURE of Applicant or Assignee of Reco | |
| Name Robert H. Tidwell | all (Senior Vice President) | ord |
| Signature Robust A | Ticketh | |
| Date 1-24 | A. Arri I a. 2 (2) Ex Tolophana | 0)268-3053 |
| NOTE: Signatures of all the inventors signature is required, see below. | rs or assignees of record of the entire interest or their representative(s) are requ | |
| [2] | ms are submitted. | |

This collection of information is required by 37 CFR 1.38. The information is required to obtain or refail a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 3 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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PTO/SB/96 (08-03)

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| STATEM | ENT UNDER 37 CFR 3.73(b) |
|--|--|
| Applicant/Patent Owner: Paul L. Hallenbeck | |
| Application No./Patent No.: 5,998,205 | Filed/Issue Date: December 7, 1999 |
| Entitled: Vectors for Tissue-Specific Replication | |
| Cell Genesys, Inc. (Name of Assignee) | Ja Delaware (Type of Assignce, e.g., corporation, partnership, university, government agency, etc.) |
| states that it is: 1. the assignee of the entire right, title, and interes | |
| 2. an assignee of less than the entire right, title an The extent (by percentage) of its ownership into in the patent application/patent identified above by viril | eroetie 0/ |
| A. [] An assignment from the inventor(s) of the pater in the United States Patent and Trademark Offic attached. | ent application/patent identified above. The assignment was recorded co at Reel, Frame, or for which a copy thereof is |
| OR | |
| B. [/] A chain of title from the inventor(s), of the patent below: | at application/patent identified above, to the current assignee as shown |
| Reel 8634 Frame Uses | To: Genetic Therapy, Inc. ad States Patent and Trademark Office at, or for which a copy thereof is attached. |
| The document was recorded in the United | To: d States Patent and Trademark Office at or for which a copy thereof is attached. |
| From: The document was recorded in the United | To: d States Patent and Trademark Office at , or for which a copy thereof is attached. |
| [v] Copies of assignments or other documents in the c [NOTE: A separate copy (i.e., the original assignments be submitted to Assignment Division in accorrecorded in the records of the USPTO. See MPEP | nent document or a true copy of the original document) |
| The undersigned (whose title is supplied below) is auth | |
| Date | Apped or printed name |
| (650) 266-3053 Telephone number | Killed & holer |
| | Signature Senior Vice President Title |

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| OMB No. 0651-0027 (exp. 6/30/2005) PATI | FORM COVER SHEET U.S. DEPARTMENT OF COMMERC. U.S. Patent and Trademark Office |
|---|--|
| Tab settings ⇔ ⇔ ♥ | <u> </u> |
| 10 the Honorable Commissioner of Patents and Trade | nmarks: Please record the attached original documents or copy thereof. |
| Name of conveying party(ies): Genetic Therapy, Inc. | Name and address of receiving party(ies) Name: Cell Genesys, Inc. |
| | Internal Address: |
| Additional name(s) of conveying party(les) attached? Yes | No |
| 3. Nature of conveyance: | |
| ✓ Assignment | Street Address: 500 Forbes Blvd. |
| Security Agreement Change of Nat | me Street Abdress: 444 Forbes Blvd. |
| Execution Date: 10/1-2/03 | City: SSFState: CA _Zip: 94080 |
| | Additional name(s) & address(es) attached? Yes No |
| 4. Application number(s) or patent number(s): | |
| A. Patent Application No.(s) See attached | application, the execution date of the application is: N/A B. Patent No.(s) See attached |
| Additional number | ers attached? / Yes No |
| 5. Name and address of party to whom corresponder | 6. Total number of applications and patents involved: [15] |
| concerning document should be mailed: Name: Cell Genesys, Inc. | 7. Total fee (37 CFR 3.41) |
| Internal Address: Intellectual Property | Enclosed |
| | Authorized to be charged to deposit account |
| Street Address: 500 Forbes Blvd. | 8. Deposit account number: |
| Street Address: Obo Foldes Blvd. | 031184 |
| City: SSF State: CA Zip: 94080 | |
| DO NOT I | JSE THIS SPACE |
| Signature. | OSE TRIS SPACE |
| | |
| Linda R. Judge, Esq., Reg. No. 42,702 Name of Person Signing | 1/25/02 |
| | Signature U Date |
| Mail documents to be recorded a | cover sheet, attachments, and documents: 27 |
| Commissioner of Patents | sit in required cover sheet information to: s & Trademarks, Box Assignments gton, D.C. 20231 |

EXHIBIT A ASSIGNED APPLICATIONS/PATENTS

| DOCKET NUMBER | SERIAL/PATENT NUMBER | FILING/ISSUE DATE | STATUS |
|------------------|-------------------------|----------------------|-----------|
| | | | |
| GTI 002.0US | 08/348,258 | 11/28/1994 | ABANDONED |
| GTI 002.1US | 08/487,992 | 06/07/1995 | ABANDONED |
| 6TI 002.2US | 5,998,205 | 12/07/1999 | ISSUED |
| GTI 002.3US | 6,551,587 | 04/22/2003 | ISSUED |
| 6TI 002.4US | 10/323,425 | 12/19/2002 | PENDING |
| 6TI 002.5US | 10/323,955 | 12/19/2002 | PENDING |
| 6TI 002.6US | 10/323,984 | 12/19/2002 | PENDING |
| GTI 003.0U5 | 08/974,391 | 11/19/1997 | ISSUED |
| 6TI 003.1US | 10/602,853 | 06/24/2003 | PENDING |
| GTI 004.0U5 | 60/270,922 | 02/23/2001 | ABANDONED |
| GTI 004.1US | 60/295,037 | 06/01/2001 | ABANDONED |
| 6TI 004.2US | 60/348,670 | 01/14/2002 | ABANDONED |
| 6TI 004.3US | 10/081,969 | 02/22/2002 | PENDING |
| 6TI 005.0US | 60/270,885 | 02/23/2001 | ABANDONED |
| 6TI 005.1US | 10/081,961 | 02/22/2002 | PENDING |



ASSIGNMENT

Genetic Therapy, Inc., a corporation organized under the laws of the State of Delaware, with a principal business address of 9 West Watkins Mill Road, Gaithersburg, Maryland 20878, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, does hereby assign to CELL GENESYS, INC., a corporation organized under the laws of the State of Delaware, with a principal business address of 500 Forbes Boulevard, South San Francisco, California 94080, (hereinafter Assignee), its successors, assigns and legal representatives, all its right, title and interest, which includes the right to and full benefit of such priorities as may now or hereafter be granted to it by local laws or by treaty, including any international convention for the protection of industrial property, in and for all countries of the world, including the United States and its territories and possessions, in and to the invention described in the following:

U.S. Patent No. 5,998,205, issued December 7, 1999

and in and to all Convention and Treaty rights of all kinds, in all countries throughout the world, for all such subject matter, including the right to claim for any such foreign applications and U.S. applications any priority rights to which such applications are entitled under international conventions, treaties or otherwise, and, and in and to, all Letters Patent to be obtained for said subject matter by the above application or any continuation, division, continuation-in-part, extension, conversion to 35 USC 111(b) or substitute thereof, and any reissue, reexamination or extension of said Letters Patent.

GENETIC THERAPY, INC.

Dated: 1 OCT 2003

Theresa M. Stevens

Executive Director

Business Development and Licensing

STATE OF MARYLAND
) SS:

COUNTY OF MONTGOMERY

On ________, 2003, before me, the undersigned, a Notary
Public in and for the State of Maryland, personally appeared Theresa M. Stevens
personally known to me (or proved to me on the basis of satisfactory evidence) to be the
person whose name is subscribed to the within instrument, and acknowledged to me
that she executed the same in her authorized capacity and that by her signature on the
instrument the person or the entity upon behalf of which the person acted executed the
instrument.

WITNESS my hand and official seal.

My Commission expires: 8/1/2006



UNITED ST TES DEPARTMENT OF COMMERCE Patent an __rademark Office
ASSISTANT SECRETARY AND COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 03, 1997

PTAS
STERNE, KESSLER, GOLDSTEIN & FOX PLLC
ANNE BROWN
1100 NEW YORK AVE., NW
SUITE 600
WASHINGTON, D.C. 20005-3934



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PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA FRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, NORTH TOWER BUILDING, SUITE 10C35, WASHINGTON, D.C. 20231.

RECORDATION DATE: 08/01/1997

REEL/FRAME: 8634/0363 NUMBER OF PAGES: 4

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

HALLENBECK, PAUL L.

DOC DATE: 07/25/1997

ASSIGNOR:

CHANG, YUNG-NIEN

DOC DATE: 07/25/1997

ASSIGNOR:

CHIANG, YAWEN L.

DOC DATE: 07/25/1997

ASSIGNEE:

GENETIC THERAPY, INC. 938 CLOPPER ROAD GAITHERSBURG, MARYLAND 20978

SERIAL NUMBER: 08849117

PATENT NUMBER:

FILING DATE:



8634/0363 PAGE 2 -

STEVEN POST, EXAMINER ASSIGNMENT DIVISION OFFICE OF PUBLIC RECORDS

| - 1 | Form P70-1595 10050 | 09453 - 200 0 1 1997 | | |
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| | 6-93 RECORDATION FORM COVER SHEET Patent and Trademark PATENTS ONLY Patent and Trademark | | | |
| | To the Henorable Commissioner of Patents and Trademarks. Please re | could the attached original desumant | | |
| 21.11 | Name of conveying party(ics): Paul L. Hallenbeck, Yung-Nien Chang, and Yawen L. Chiang | 2. Name and address of receiving party(ies): Name: Genetic Therapy, Inc. Street Address: 938 Clopper Road | | |
| H. | | City: Gaithersburg State: MD Zip Code: 20878 | | |
| <u>ک</u> ا ک | dditional name(s) of conveying party(ies) attached? □ yes □ no | Country: USA | | |
| 3 | . Nature of Conveyance: | | | |
| | □ Assignment □ Merger □ Security Agreement □ Change of Nam □ Other | Additional name(s) & address(es) attached? © yes © no | | |
| | Execution Date(s): July 25, 1997 | _ | | |
| 4. | Application number(s) or patent number(s): | | | |
| | If this document is being filed together with a new appl | ication, the execution date of the application is | | |
| | A. Patent Application No(s). 08/849.117 | B. Patent No(s). | | |
| - | Additional numbers attached? | □ yes ⊠ no | | |
| 5. | Name and address of party to whom correspondence concerning document should be mailed: | 6. Total number of applications and patents involved | | |
| N | ame: Sterne, Kessler, Goldstein & Fox P.L.L.C. | 7. Total fee (37 C.F.R. § 3.41)\$_40.00 | | |
| In | ternal Address: Anne Brown | ™ Enclosed | | |
| 9,. | cet Address: 1100 New York Ava. N.W. | ☐ Authorized to be charged to Deposit Account | | |
| | Suite 600 | 8. Deposit Account Number: 19-0036 | | |
| Cit | y: Washington State: D.C. Zip Code: 20005-3934 | | | |
| | DO NOT (| JSE THIS SPACE | | |
| 9, 5 | Statement and signature. | | | |
| To co | the best of my knowledge and belief, the foregoing info py of the original document. | rmation is true and correct and any attached copy is a true | | |
| 1 | Anne Brown Anne | Braw 2/197 | | |
| | Registration No. 26 462 Signature | Date | | |
| OMB | NO. 0651-0011 (exp.4/94) | otal number of pages including cover sheet, attackments and document 2 | | |
| | Mail documents to be recorded wi Commissioner of Patents am | th required cover sheet information to: d Trackmarks, Box Assignments on, D.C., 20221 | | |

P:(USERS)TPITYMAN/1130/113613A,CVR 017,pio 5KGP Rov 4/16/96

6592662949



ASSIGNMENT

In consideration of the sum of One Dollar (\$1.00) or equivalent and other good and valuable consideration paid to each of the undersigned: Paul L. Hallenbeck, Yung-Nien Chang, and Yawen L. Chiang the undersigned hereby sell and assign to Genetic Thorapy, Inc. (the Assignee) their entire right,

check applicable bax(es)

☐ for the United States of America (as defined in 35 U.S.C. § 100),
☐ and throughout the world,

in the invention(s) known as <u>Vectors for Tissue-Specific Replication</u>
application(s) for patent in the United States of America has been executed by the undersigned on
(also known as United States Application No. 08/849.117, filed May 28, 1997), in any and all applications thereon, in any and all Letters Patent(s) therefor, and in any and all reissues, extensions, renewals, reexaminations of such applications or Letters Patent(s) and divisional and continuation applications thereof, to the full end of the term or terms for which such Letters Patent(s) issue, such entire right, title and interest to be held and enjoyed by the above-named Assignee to the same extent as they would have been held and enjoyed by the undersigned had this assignment and sale not been made.

The undersigned agree to execute all papers necessary in connection with the application(s) and any continuing (continuation, divisional, or continuation-in-part), reissue, reexamination or corresponding application(s) thereof and also to execute separate assignments in connection with such applications as the Assignee may deem necessary or expedient.

The undersigned agree to execute all papers necessary in connection with any interference that may be declared concerning the application(s) or any continuing (continuation, divisional, or continuation-in-part), reissue or reexamination application thereof and to cooperate with the Assignee in every way possible in obtaining evidence and going forward with such interference.

The undersigned hereby represent that the undersigned have full right to convey the entire interest herein assigned, and that the undersigned have not executed, and will not execute, any agreement in conflict therewith.

The undersigned hereby grant Robert Greene Sterne, Esquire, Registration No. 28,912; Edward J. Kessler, Esquire, Registration No. 25,688; Jorge A. Goldstein, Esquire, Registration No. 29,021; Samuel L. Fox, Esquire, Registration No. 30,353; David K.S. Cornwell, Esquire, Registration No. 31,944; Robert W. Esmond, Esquire, Registration No. 32,893; Tracy-Gene G. Durkin, Esquire, Registration No. 32,831; Michele A. Cimbala, Esquire, Registration No. 33,851; Michael B. Ray, Registration No. 33,997; Robert E. Sokohl, Esquire, Registration No. 36,013; Eric K. Steffe, Esquire, Registration No. 36,688; and Andrea G. Reister, Esquire, Registration No. 36,253, of STERNE, KESSLER, GOLDSTEN & FOXP-LLC., 1100 New York Avenue, N.W., Suite 600, Washington, D.C. 20005-3934, power to insert in this assignment any further identification that may be necessary or desirable in order to comply with the rules of the United States Patent and Trademark

| IN WITNESS WHEREOF, executed by the undersigned on the dates opposite their names. |
|--|
| Date: 1/25/97 Signature of Inventor: |
| Paul L. Hallenbeck |
| Date: 77/25/93 Signature of Inventor: 1997-Lun Chary |
| /Yung Nien Chang |
| Date: 7/25/47 simmer |
| Yawen L. Chiang |
| The state of the s |

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